

# Compilers

by  
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**Lecture 6**  
**Tues. 6-4-2021**

**Chapter 4 (4.4 to 4.4.3)**

## **Syntax Analysis**

# Top-Down Parsing

- Constructing a parse tree for an input string starting from the root, and creating the nodes in preorder (depth-first), (finding a leftmost derivation for an input string).
- Ex: **id + id \* id**

$$E \rightarrow T E'$$

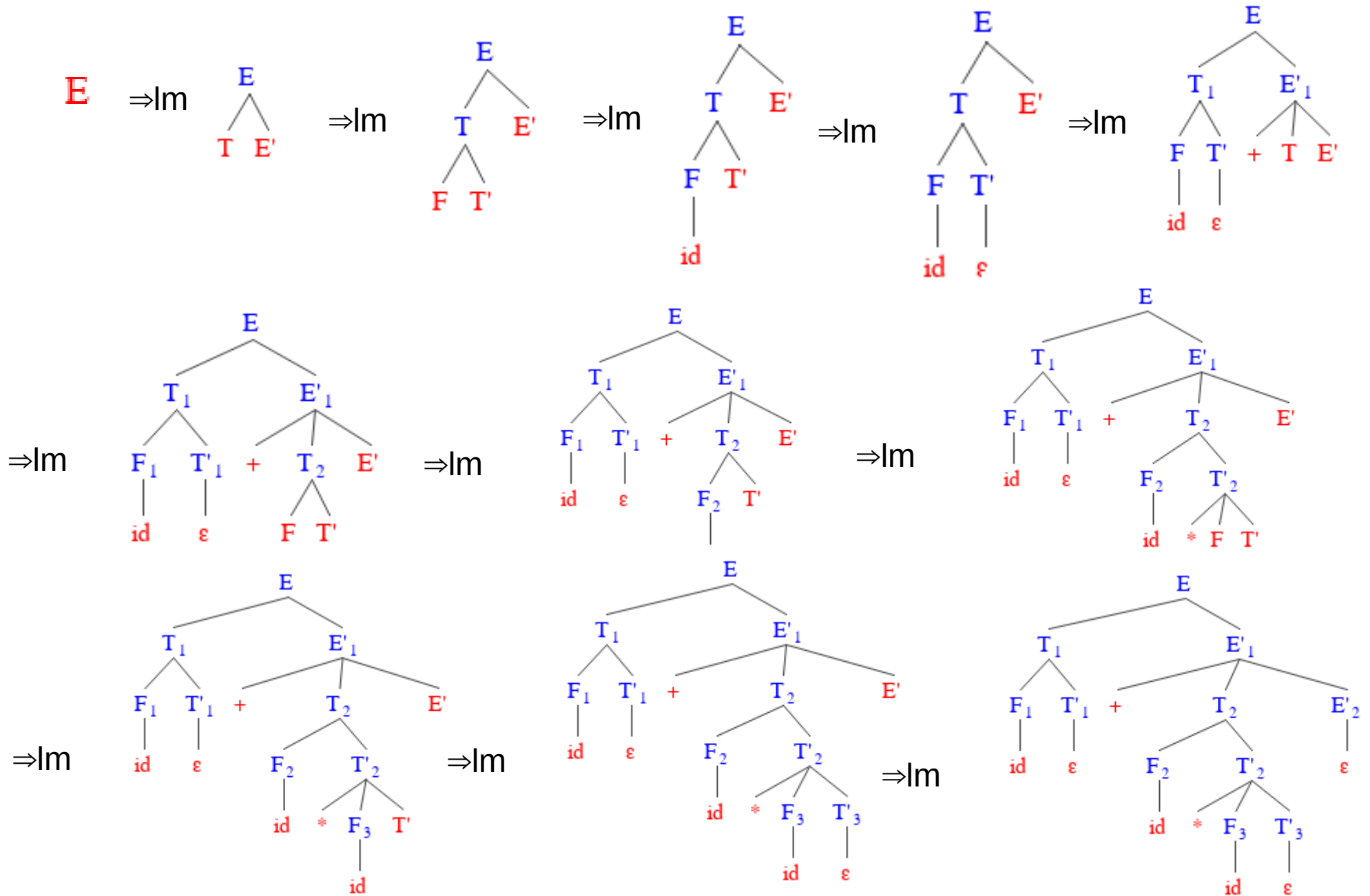
$$E' \rightarrow + T E' \mid \varepsilon$$

$$T \rightarrow F T'$$

$$T' \rightarrow * F T' \mid \varepsilon$$

$$F \rightarrow ( E ) \mid \mathbf{id}$$

# Top-Down Parsing



# Top-Down Parsing

- At each step, the problem is choosing the next production.
- Topics:
  - 1) Recursive-decent parsing (backtracking).
  - 2) Predictive parsing (special case of recursive-decent parsing, no backtracking, lookahead)
    - LL(k): class of grammar for which we can build a predictive parser looking ahead k symbols. (ex: LL(1))
  - 3) Nonrecursive parsing (using stack).
  - 4) Error recovery.

# Recursive-Decent Parsing

- One procedure for each non-terminal.
- Begin with the procedure of the start symbol, and halt announcing success if the entire input string is scanned.

```
void A{ ) {
```

```
    Choose an A-production,  $A \rightarrow X_1 X_2 \dots X_k$ ;
```

```
    for (  $i = 1$  to  $k$  ) {
```

```
        if (  $X_i$  is a nonterminal )
```

```
            call procedure  $X_i()$ ;
```

```
        else if (  $X_i$  equals the current input symbol  $a$  )
```

```
            advance the input to the next symbol;
```

```
        else /* an error has occurred */;
```

```
    }
```

```
}
```

- Note: Nondeterministic (which production to choose at line 2?).

# Recursive-Decent Parsing

- May require backtracking (not efficient), so not used frequently.
- To add backtracking to the previous code:
  - Try each of several productions in some order.
  - Failure at line 7 means going back to line 1 to try another production.
  - A local variable to store input string pointer to be able to backtrack.

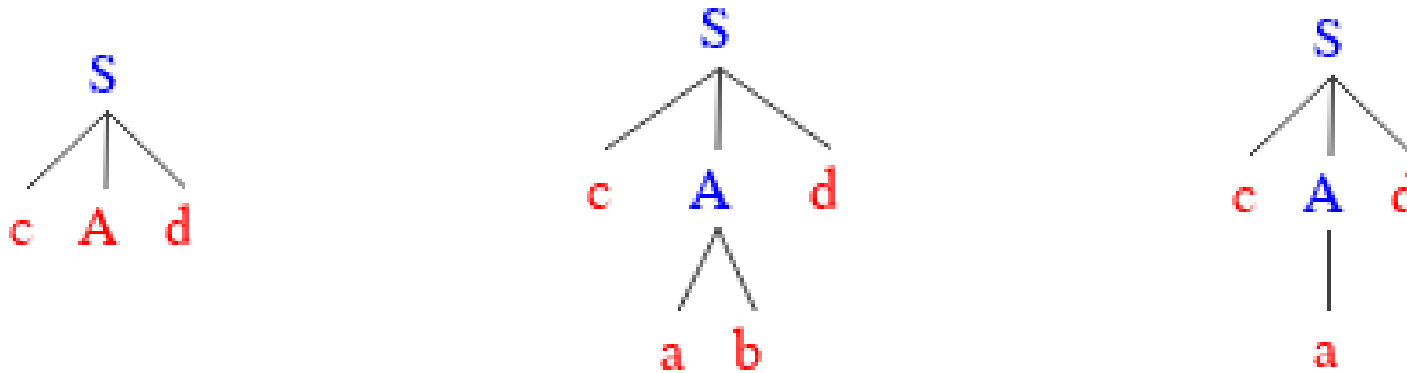
# Recursive-Decent Parsing

- Ex: given grammar:

$$S \rightarrow c A d$$

$$A \rightarrow ab \mid a$$

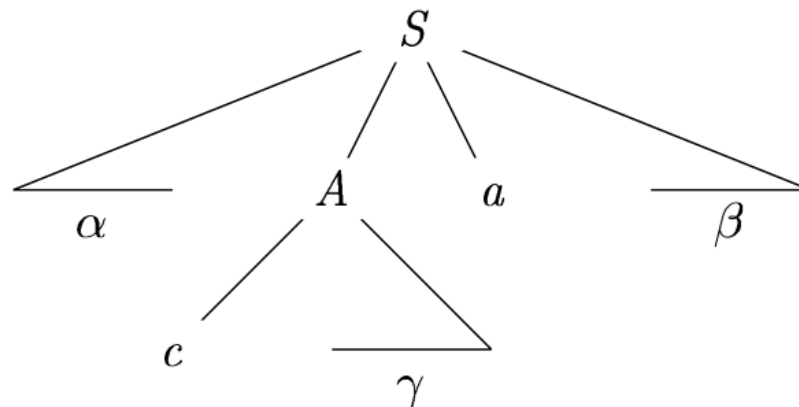
and input  $w = cad$



- Left recursive grammar can cause infinite loop.

# FIRST and FOLLOW

- Helps top-down (and bottom-up) parsing.
- To choose which production based on the next symbol and the FIRST sets of alternative productions available..
- Sets of tokens produced by FOLLOW can be used as synchronizing tokens in panic mode.
- $\text{FIRST}(\alpha)$  = the set of terminals that begin strings derived from  $\alpha$ .
  - $\text{FIRST}(A) = \{c, \dots\}$
- $\text{FOLLOW}(A)$  = the set of terminals that can appear immediately to the right of  $A$  in some sentential form  $(S \Rightarrow^* \alpha A a \beta)$ .
  - $\text{FOLLOW}(A) = \{a, \dots\}$
- $\$$  : a special end symbol “endmarker” that is not a symbol of any grammar.
- If  $A$  can be the rightmost symbol in some sentential form, then  $\$$  is in the  $\text{FOLLOW}(A)$ .





# FIRST(X) computing

- Apply the following rules until no more terminals or  $\epsilon$  can be added to any FIRST set:
  - 1) If  $X$  is a terminal, then  $\text{FIRST}(X) = \{X\}$ .
  - 2) If  $X \rightarrow Y_1 Y_2 \dots Y_k$ 
    - a) If  $a$  is in  $\text{FIRST}(Y_i)$  and  $\epsilon$  is in all of  $\text{FIRST}(Y_1), \dots, \text{FIRST}(Y_{i-1})$  [i.e.  $Y_1 \dots Y_{i-1} \Rightarrow^* \epsilon$ ], then add  $a$  to  $\text{FIRST}(X)$ .
    - b) If  $\epsilon$  is in  $\text{FIRST}(Y_j)$  for all  $j = 1, 2, \dots, k$ , then add  $\epsilon$  to  $\text{FIRST}(X)$ .
  - 3) If  $X \rightarrow \epsilon$  then add  $\epsilon$  to  $\text{FIRST}(X)$ .

# FIRST(X) computing

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  - b) If  $\epsilon$  is in  $\text{FIRST}(Y_j)$  for all  $j = 1, 2, \dots, k$ , then add  $\epsilon$  to  $\text{FIRST}(X)$ .
- 3) If  $X \rightarrow \epsilon$  then add  $\epsilon$  to  $\text{FIRST}(X)$ .

- Given Grammar:

$$E \rightarrow T E'$$

$$E' \rightarrow + T E' \mid \epsilon$$

$$T \rightarrow F T'$$

$$T' \rightarrow * F T' \mid \epsilon$$

$$F \rightarrow ( E ) \mid \mathbf{id}$$

- $\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{ (, \mathbf{id} \}$
- $\text{FIRST}(E') = \{ +, \epsilon \}$
- $\text{FIRST}(T') = \{ *, \epsilon \}$

# FOLLOW(X) computing

- Apply the following rules until nothing can be added to any FOLLOW set:
  - 1) Place \$ in FOLLOW(S) where S is the start symbol.
  - 2) If  $A \rightarrow \alpha B \beta$  then everything in FIRST( $\beta$ ) except  $\epsilon$  is in FOLLOW(B).
  - 3) If  $A \rightarrow \alpha B$  or  $A \rightarrow \alpha B \beta$  where FIRST( $\beta$ ) contains  $\epsilon$  then everything in FOLLOW(A) is in FOLLOW(B).

# FIRST(X) computing

- Apply the following rules until nothing can be added to any FOLLOW set:

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$$E \rightarrow T E'$$

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$$T \rightarrow F T'$$

$$T' \rightarrow * F T' \mid \epsilon$$

$$F \rightarrow ( E ) \mid \mathbf{id}$$

- FOLLOW(E) = FOLLOW(E') = { ), \$ }
- FOLLOW(T) = FOLLOW(T') = { + , ) , \$ }
- FOLLOW(F) = { + , \* , ) , \$ }

- FIRST(E) = FIRST(T) = FIRST(F) = { ( , **id** }
- FIRST(E') = { + ,  $\epsilon$  }
- FIRST(T') = { \* ,  $\epsilon$  }

# Example

- Given Grammar:

$$E \rightarrow T E'$$

$$E' \rightarrow + T E' \mid \varepsilon$$

$$T \rightarrow F T'$$

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- $\text{FIRST}(F) = \text{FIRST}(T) = \text{FIRST}(E) = \{ (, \mathbf{id} \}$
- $\text{FIRST}(E') = \{ +, \varepsilon \}$
- $\text{FIRST}(T') = \{ *, \varepsilon \}$
- $\text{FOLLOW}(E) = \text{FOLLOW}(E') = \{ ), \$ \}$
- $\text{FOLLOW}(T) = \text{FOLLOW}(T') = \{ +, ), \$ \}$
- $\text{FOLLOW}(F) = \{ +, *, ), \$ \}$

# LL(1) Grammar

- LL(1): L for scanning input from left to right, L for using leftmost derivations, (1) for one lookahead symbol.
- Rich enough to cover most programming constructs, but take care in writing grammar. (no left-recursive or ambiguous grammar can be LL(1)).

# LL(1) Grammar

- A grammar  $G$  is LL(1) iff whenever  $A \rightarrow \alpha \mid \beta$ :
  - 1) For no terminals  $a$  do both  $\alpha$  and  $\beta$  derive strings beginning with  $a$ .
  - 2) At most one of  $\alpha$  and  $\beta$  can derive the empty string.
  - 3) If  $\beta \Rightarrow^* \varepsilon$ , then  $\alpha$  does not derive any string beginning with a terminal in FOLLOW ( $A$ ). Likewise, if  $\alpha \Rightarrow^* \varepsilon$ , then  $\beta$  does not derive any string beginning with a terminal in FOLLOW( $A$ ).

# LL(1) Grammar

- Predictive parsers can be constructed for LL(1) since only current input symbol can determine the production to choose.
- Keywords for flow of control constructs generally satisfy LL(1) rules (**if**, **while**, **}**).
- Algorithm to construct parsing table  $M[A,a]$ :
  - Choose  $A \rightarrow \alpha$  if next input symbol is in  $FIRST(\alpha)$ .
  - If  $\alpha \Rightarrow^* \epsilon$  then choose  $A \rightarrow \alpha$  if next symbol is in  $FOLLOW(A)$  or if \$ has been reached and \$ is in  $FOLLOW(A)$ .



# Parsing Table Construction Algorithm

- **INPUT** : Grammar G.
- **OUTPUT** : Parsing table M.
- **METHOD** : For each production  $A \rightarrow \alpha$  of the grammar, do the following:
  - 1) For each terminal  $a$  in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to  $M[A, a]$ . (error in book page 224, 1 -4)
  - 2) If  $\epsilon$  is in  $\text{FIRSTS}(\alpha)$ , then for each terminal  $b$  in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, b]$ . If  $\epsilon$  is in  $\text{FIRST}(\alpha)$  and  $\$$  is in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, \$]$  as well.
  - 3) If, after performing the above, there is no production at all in  $M[A, a]$ , then set  $M[A, a]$  to **error** (which we normally represent by an empty entry in the table).

# Parsing Table Construction Example

$$E \rightarrow T E'$$

$$E' \rightarrow + T E' \mid \varepsilon$$

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- $\text{FIRST}(F) = \text{FIRST}(T) = \text{FIRST}(E) = \{ (, \mathbf{id} \}$
- $\text{FIRST}(E') = \{ +, \varepsilon \}$
- $\text{FIRST}(T') = \{ *, \varepsilon \}$
- $\text{FOLLOW}(E) = \text{FOLLOW}(E') = \{ ), \$ \}$
- $\text{FOLLOW}(T) = \text{FOLLOW}(T') = \{ +, ), \$ \}$
- $\text{FOLLOW}(F) = \{ +, *, ), \$ \}$

Non-Terminal	Input Symbol					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \varepsilon$	$E' \rightarrow \varepsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \varepsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \varepsilon$	$T' \rightarrow \varepsilon$
$F$	$F \rightarrow \mathbf{id}$			$F \rightarrow (E)$		

# LL(1) Grammar

- If  $G$  is left recursive or ambiguous,  $M$  will have min. one multiply defined entry.
- Left-recursion elimination and left factoring may not be able to convert a given  $G$  to LL(1).
- Ex:  $S \rightarrow i E t S S' \mid a$   
 $S' \rightarrow e S \mid \varepsilon$   
 $E \rightarrow b$
- To solve the conflict, we may always choose  $S' \rightarrow eS$  on seeing an else.

Non-Terminal	Input Symbol					
	$a$	$b$	$e$	$i$	$t$	$\$$
$S$	$S \rightarrow a$			$S \rightarrow iEtSS'$		
$S'$			$S' \rightarrow \varepsilon$ $S' \rightarrow eS$			$S' \rightarrow \varepsilon$
$E$		$E \rightarrow b$				